# **AL SHEET** (FOR FY 2004)

Complete if Known						
Application No.	09/652,968					
Filing Date	August 31, 2000					
First Named Inventor	Vishnu K. Agarwal					
Group Art Unit	2815					
Examiner	Jose R. Diaz					
Atty. Docket Number	501082.13					

#### **METHOD OF PAYMENT (Check One)**

### **FEE CALCULATION (Continued)**

**Small Entity** 

3. ADDITIONAL FEES

**Large Entity** 

1. <b>[X]</b> The Commissioner is hereby authorized to charge any
additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and
1.136(a)(3) and credit any over payments to Deposit Account
No.: <u>50-1266</u> ; Deposit Account Name: <u>DORSEY &amp; WHITNEY LLP</u>

1. [A] The Commissioner is hereby authorized to charge any								
additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and								
1.136(a)(3) and credit any over payments to Deposit Account								
No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP								

2.	[]	Check Enclosed		
			FEE CALCULAT	ION

1. BASI	C FILING	FEE			
Large E	ntity	Small E	Entity		
Fee <u>Code</u>	Fee ( <u>\$)</u>	Fee <u>Code</u>	Fee <u>(\$)</u>	Fee Description	
1001	770	2001	385	[] Utility Filing Fee	•
1002	340	2002	170	Design Filing Fee	
1003	530	2003	265	[] Plant Filing Fee	
1004	770	2004	385	[] Reissue Filing Fee	
1005	160	2005	80	[] Provisional Filing Fee	
			S	ubtotal (1) \$ <u>0</u>	)

2. EXTRA CLA	IM FE	ES						
Current Claims		Prior		Extra	F	ee		Fee Paid
Total	-	20	=		x	\$	=	\$ 0
Ind.		3	=		- x	\$	=	\$ 0
Multiple Depende	•		- x -	\$	=	\$		
					Sub	total	(2)	\$ <u>0</u>

Large E	ntity	Small Ent	ity	
Fee Code	Fee (\$)	Fee <u>Code</u>	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent Claim
1204	86	2204	43	Reissue independent claims over original patent
1205	18	2205	9	Reissue claims in excess of 20 and over original patent

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid
1051	130	2051	65	Surcharge - Late filing fee or oath	\$
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	\$
1053	130	1053	130	Non-English specification	\$
1812	2,520	1812	2,520	For Filing a Request for ex parte Reexamination	\$
1804	920	1804	920	Requesting publication of SIR prior to Examiner action	\$
1805	1,840	1805	1,840	Requesting publication of SIR after Examiner action	\$
1251	110	2251	55	Extension for reply within first month	\$
1252	420	2252	210	Extension for reply within 2 <sup>nd</sup> month	\$
1253	950	2253	475	Extension for reply within 3 <sup>rd</sup> month	\$
1254	1,480	2254	740	Extension for reply within 4th month	\$
1255	2,010	2255	1,005	Extension for reply within 5 <sup>th</sup> month	\$
1401	330	2401	165	Notice of Appeal	\$
1402	330	2402	165	Filing a brief in support of an appeal	\$
1403	290	2403	145	Request for oral hearing	\$
1814	110	2814	55	Terminal Disclaimer Fee	\$
1452	110	2452	55	Petition to revive – unavoidable	\$
1453	1,330	2453	665	Petition to revive – unintentional	\$
1501	1,330	2501	665	Utility/Reissue issue fee	\$
1502	480	2502	240	Design issue fee	\$
1503	640	2503	320	Plant issue fee	\$
1460	130	1460	130	Petitions to the Commissioner	\$
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	\$
1806	180	1806	180	Submission of IDS	\$
8021	40	8021	40	Recording each patent assignment	•

# Submitted by:

Name: Marcus Simon Reg. No.: 50,258 Telephone: (206) 903-8800 Marcus Smon Signature:

8021

1801

Other fee (specify)

8021

2801

40

385

per property (times number of

**Request for Continued Examination** 

**Total Amount of Payment:** 

Subtotal (3)

\$

\$

\$

properties)

(RCE)





I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

P.O. Box 1450, Alexandria, VA 22313-1450.

Date Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Carolyn L.

Appl. No. : 09/652,968

Confirmation No. : 4756

Applicant: Vishnu K. Agarwal

Filed : August 31, 2000

Attorney Docket No.: 501082.13

: 27,076

Art Unit : 2815 Customer No.

Examiner : Jose R. Diaz

Title : DEVICE AND METHOD FOR PROTECTING AGAINST OXIDATION OF A

CONDUCTIVE LAYER IN SAID DEVICE

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 09/200,253, filed November 25, 1998. The references listed on the attached Form PTO-1449 were submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, are not required to be provided in this application. If the Examiner wishes, copies will be provided upon request. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

App. No. 09/652,968

I hereby certify that no item set forth on the attached form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP

Marin Simo

Marcus Simon

Registration No. 50,258

MS:clr

Enclosures:

Postcard Fee Transmittal (+ copy) Form PTO-1449

1420 Fifth Avenue, Suite 3400 Seattle, WA 98101 Telephone (206) 903-8800 Facsimile (206) 903-8820

h:\ip\documents\clients\micron technology\1000\501082.13\501082. 13 supp ids 092304.doc

FORM PTO-1449 (REV.7-80)	)		.S. DEPARTMENT ATENT AND TRAD		ATTY. DOCKET NO. APPLICATION NO. 501082.13 09/652,968					
INFORMATION DISCLOSURE STATEMENT				APPLICANT(S) Vishnu K. Agarwal						
		(Use several sheets if ne	cessary)	2 0 2007	FILING DATE GROUP ART UNIT August 31, 2000 2815				<u>.</u>	
			A STATE OF THE PARTY OF THE PAR	DEW ENT I	DOCUMENTS					
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE		NAME		ASS	SUBCLASS	FILING	
	AA	6,624,069	09/23/03	Lee et al.		438		665		
	AB									
	AC									
٠.	AD				·					
	AE									
	AF									
	AG									
	АН									
	AI					<u></u>				
	AJ									
			FORE	IGN PATEN	T DOCUMENTS					
	DOCUMENT NUMBER DATE			<u> </u>	COUNTRY	CLA	ASS	SUBCLASS	TRANS	LATION
<b></b>						_			YES	NO
	AK									
	AL					ļ				
	AM		· · · · · · · · · · · · · · · · · · ·							
	AN					<u> </u>				
	AO		<u>-</u> .							·········
		ОТНЕ	R PRIOR A	RT (Including	Author, Title, Date, Pertinent P	ages, Etc.	)		- <del></del>	
	AP									
	AQ									
	AR				-			, , , , , , , , , , , , , , , , , , , ,		
EXAMINE	CR	<u> </u>			DATE CONSIDERED					
* EXAMINI	 E <b>R</b> :	Initial if reference consider	red, whether or no	t criteria is in cor	nformance with MPEP 609. Dra	w line thr	ough c	itation if not in	<u> </u>	
	* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).									